

# Form 603

Corporations Law  
Section 671B

## Notice of initial substantial holder

To: Company Name/Scheme **GALENA MINING LIMITED**

ACN/ARSN **616 317 778**

### 1. Details of substantial holder (1)

Name: **UBS Group AG and its related bodies corporate**

ACN/ARSN (if applicable)

The holder became a substantial holder on: **03 April 2019**

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary	17,990,725	17,990,725	5.15%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
UBS Securities Australia Ltd	Beneficial Owner	17,990,725 Ordinary

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
UBS Securities Australia Ltd	Brispot Nominees Pty Ltd	UBS Securities Australia Ltd	17,990,725 Ordinary

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please see Appendix A.				

## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
UBS Securities Australia Ltd	Related body corporate
Brispot Nominees Pty Ltd	Related body corporate

## 7. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Details of all UBS offices can be found through the following link: <a href="http://apps2.ubs.com/locationfinder/">http://apps2.ubs.com/locationfinder/</a>	

## SIGNATURE

Print Name: Philip Alexander Capacity: Authorised signatory

Sign Here:  Date: 05 April 2019

Print Name: Joanne Chan Capacity: Authorised signatory

Sign Here:  Date: 05 April 2019

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Contact details for this notice:

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Philip Alexander  
Compliance & Operational Risk Control  
(T) +852 2971 6074  
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G1A - Appendix A					
Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	Class
19-Dec-18	UBS Securities Australia Ltd	Buy	8,433	48,163	Ordinary
20-Dec-18	UBS Securities Australia Ltd	Buy	29,413	176,127	Ordinary
27-Dec-18	UBS Securities Australia Ltd	Buy	1,600	10,000	Ordinary
27-Dec-18	UBS Securities Australia Ltd	Sell	1,347	(8,982)	Ordinary
02-Jan-19	UBS Securities Australia Ltd	Buy	5,600	35,000	Ordinary
04-Jan-19	UBS Securities Australia Ltd	Buy	4,674	30,000	Ordinary
07-Jan-19	UBS Securities Australia Ltd	Buy	5,508	34,555	Ordinary
08-Jan-19	UBS Securities Australia Ltd	Buy	57,160	356,584	Ordinary
09-Jan-19	UBS Securities Australia Ltd	Buy	7,844	47,740	Ordinary
10-Jan-19	UBS Securities Australia Ltd	Buy	39,149	229,207	Ordinary
10-Jan-19	UBS Securities Australia Ltd	Sell	42,742	(251,721)	Ordinary
11-Jan-19	UBS Securities Australia Ltd	Buy	37,154	223,819	Ordinary
14-Jan-19	UBS Securities Australia Ltd	Buy	4,917	30,000	Ordinary
15-Jan-19	UBS Securities Australia Ltd	Buy	2,587	15,211	Ordinary
15-Jan-19	UBS Securities Australia Ltd	Buy	9,202	54,548	Ordinary
16-Jan-19	UBS Securities Australia Ltd	Buy	125,348	807,652	Ordinary
17-Jan-19	UBS Securities Australia Ltd	Buy	1,801	10,000	Ordinary
18-Jan-19	UBS Securities Australia Ltd	Buy	7,796	40,000	Ordinary
24-Jan-19	UBS Securities Australia Ltd	Sell	3,978	(17,690)	Ordinary
25-Jan-19	UBS Securities Australia Ltd	Sell	37,558	(152,736)	Ordinary
31-Jan-19	UBS Securities Australia Ltd	Buy	1,983	6,500	Ordinary
04-Feb-19	UBS Securities Australia Ltd	Sell	2,300	(7,300)	Ordinary
04-Feb-19	UBS Securities Australia Ltd	Buy	853	2,750	Ordinary
05-Feb-19	UBS Securities Australia Ltd	Buy	1,728	5,400	Ordinary
05-Feb-19	UBS Securities Australia Ltd	Sell	1,859	(5,750)	Ordinary
06-Feb-19	UBS Securities Australia Ltd	Buy	113,050	354,279	Ordinary
07-Feb-19	UBS Securities Australia Ltd	Sell	2,698	(8,261)	Ordinary
07-Feb-19	UBS Securities Australia Ltd	Buy	3,068	9,500	Ordinary
07-Feb-19	UBS Securities Australia Ltd	Buy	38,555	118,013	Ordinary
08-Feb-19	UBS Securities Australia Ltd	Buy	63,973	199,852	Ordinary
11-Feb-19	UBS Securities Australia Ltd	Sell	9,415	(27,249)	Ordinary
11-Feb-19	UBS Securities Australia Ltd	Buy	10,457	30,310	Ordinary
11-Feb-19	UBS Securities Australia Ltd	Sell	475	(1,378)	Ordinary
11-Feb-19	UBS Securities Australia Ltd	Buy	86,125	250,218	Ordinary
12-Feb-19	UBS Securities Australia Ltd	Sell	2,309	(6,718)	Ordinary
12-Feb-19	UBS Securities Australia Ltd	Buy	2,255	6,700	Ordinary
12-Feb-19	UBS Securities Australia Ltd	Buy	22,017	64,207	Ordinary
13-Feb-19	UBS Securities Australia Ltd	Sell	2,922	(8,304)	Ordinary
13-Feb-19	UBS Securities Australia Ltd	Sell	2,019	(5,768)	Ordinary
13-Feb-19	UBS Securities Australia Ltd	Buy	5,456	15,287	Ordinary
13-Feb-19	UBS Securities Australia Ltd	Buy	33,393	92,349	Ordinary
13-Feb-19	UBS Securities Australia Ltd	Buy	54,914	153,994	Ordinary
14-Feb-19	UBS Securities Australia Ltd	Sell	11,942	(34,746)	Ordinary
14-Feb-19	UBS Securities Australia Ltd	Buy	11,871	34,834	Ordinary
14-Feb-19	UBS Securities Australia Ltd	Sell	2,353	(6,722)	Ordinary
14-Feb-19	UBS Securities Australia Ltd	Buy	109,871	316,085	Ordinary
15-Feb-19	UBS Securities Australia Ltd	Sell	4,193	(12,084)	Ordinary
15-Feb-19	UBS Securities Australia Ltd	Buy	1,853	5,371	Ordinary
15-Feb-19	UBS Securities Australia Ltd	Buy	32,296	92,248	Ordinary
15-Feb-19	UBS Securities Australia Ltd	Buy	56,280	159,976	Ordinary
19-Feb-19	UBS Securities Australia Ltd	Buy	68,404	195,384	Ordinary
20-Feb-19	UBS Securities Australia Ltd	Buy	44,007	122,856	Ordinary
20-Feb-19	UBS Securities Australia Ltd	Buy	10,854	30,000	Ordinary
21-Feb-19	UBS Securities Australia Ltd	Buy	160,366	440,082	Ordinary
22-Feb-19	UBS Securities Australia Ltd	Buy	292,898	782,313	Ordinary
26-Feb-19	UBS Securities Australia Ltd	Buy	118,572	304,500	Ordinary
26-Feb-19	UBS Securities Australia Ltd	Buy	39,403	102,000	Ordinary
26-Feb-19	UBS Securities Australia Ltd	Buy	38,480	100,000	Ordinary
27-Feb-19	UBS Securities Australia Ltd	Buy	8,510	22,197	Ordinary
28-Feb-19	UBS Securities Australia Ltd	Buy	2,346	6,428	Ordinary
28-Feb-19	UBS Securities Australia Ltd	Buy	58,640	160,000	Ordinary
04-Mar-19	UBS Securities Australia Ltd	Sell	5,685	(15,730)	Ordinary
04-Mar-19	UBS Securities Australia Ltd	Buy	4,594	12,900	Ordinary
04-Mar-19	UBS Securities Australia Ltd	Sell	97	(270)	Ordinary
04-Mar-19	UBS Securities Australia Ltd	Buy	28,742	79,113	Ordinary

04-Mar-19	UBS Securities Australia Ltd	Buy	82,179	230,000	Ordinary
04-Mar-19	UBS Securities Australia Ltd	Buy	97,308	270,000	Ordinary
06-Mar-19	UBS Securities Australia Ltd	Buy	1,726	5,000	Ordinary
07-Mar-19	UBS Securities Australia Ltd	Buy	2,550	7,500	Ordinary
07-Mar-19	UBS Securities Australia Ltd	Sell	3,764	(10,755)	Ordinary
07-Mar-19	UBS Securities Australia Ltd	Sell	1,088	(3,200)	Ordinary
07-Mar-19	UBS Securities Australia Ltd	Buy	27,935	83,239	Ordinary
07-Mar-19	UBS Securities Australia Ltd	Buy	34,934	101,581	Ordinary
08-Mar-19	UBS Securities Australia Ltd	Sell	5,374	(14,850)	Ordinary
08-Mar-19	UBS Securities Australia Ltd	Buy	126,630	350,000	Ordinary
11-Mar-19	UBS Securities Australia Ltd	Sell	160	(485)	Ordinary
11-Mar-19	UBS Securities Australia Ltd	Buy	27,210	81,885	Ordinary
11-Mar-19	UBS Securities Australia Ltd	Buy	20,968	61,651	Ordinary
12-Mar-19	UBS Securities Australia Ltd	Sell	8,772	(25,801)	Ordinary
12-Mar-19	UBS Securities Australia Ltd	Buy	36,049	106,495	Ordinary
13-Mar-19	UBS Securities Australia Ltd	Buy	3,185	9,100	Ordinary
20-Mar-19	UBS Securities Australia Ltd	Sell	5,439	(15,927)	Ordinary
20-Mar-19	UBS Securities Australia Ltd	Buy	2,210	6,500	Ordinary
21-Mar-19	UBS Securities Australia Ltd	Sell	770	(2,200)	Ordinary
21-Mar-19	UBS Securities Australia Ltd	Buy	297,773	827,378	Ordinary
22-Mar-19	UBS Securities Australia Ltd	Buy	11,100	30,000	Ordinary
22-Mar-19	UBS Securities Australia Ltd	Buy	41,862	110,484	Ordinary
22-Mar-19	UBS Securities Australia Ltd	Buy	3,434	9,279	Ordinary
25-Mar-19	UBS Securities Australia Ltd	Sell	5,843	(15,581)	Ordinary
25-Mar-19	UBS Securities Australia Ltd	Sell	11,100	(30,000)	Ordinary
25-Mar-19	UBS Securities Australia Ltd	Buy	62,305	168,392	Ordinary
26-Mar-19	UBS Securities Australia Ltd	Buy	11,756	31,884	Ordinary
27-Mar-19	UBS Securities Australia Ltd	Buy	10,142	27,993	Ordinary
28-Mar-19	UBS Securities Australia Ltd	Buy	70,014	187,806	Ordinary
29-Mar-19	UBS Securities Australia Ltd	Sell	2,477	(6,800)	Ordinary
29-Mar-19	UBS Securities Australia Ltd	Buy	2,808	7,800	Ordinary
29-Mar-19	UBS Securities Australia Ltd	Buy	30,829	85,140	Ordinary
01-Apr-19	UBS Securities Australia Ltd	Sell	1,386	(3,600)	Ordinary
01-Apr-19	UBS Securities Australia Ltd	Buy	10,113	27,106	Ordinary
01-Apr-19	UBS Securities Australia Ltd	Buy	89,019	235,002	Ordinary
03-Apr-19	UBS Securities Australia Ltd	Buy	13,371	33,445	Ordinary
03-Apr-19	UBS Securities Australia Ltd	Sell	2,004	(4,947)	Ordinary
03-Apr-19	UBS Securities Australia Ltd	Buy	244,240	609,228	Ordinary