

# Form 605

Corporations Act 2001

Section 671B

## Notice of ceasing to be a substantial holder

To Company Name/Scheme GALENA MINING LIMITED

ACN/ARSN ACN 616 317 778

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder ceased to be a substantial holder on 18-September-2019

The previous notice was given to the company on 16-September-2019

The previous notice was dated 16-September-2019

### 2. Changes in relevant interests

Particulars of each change in , or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
13-Sep-19	Credit Suisse Equities (Australia) Limited	Disposed on market	2,757.24 AUD	-7,659 Common Stock	-7,659
13-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	16,860.81 AUD	47,064 Common Stock	47,064
16-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	6,369.06 AUD	17,941 Common Stock	17,941
16-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	142,322.68 AUD	400,000 Common Stock	400,000
17-Sep-19	Credit Suisse Equities (Australia) Limited	Acquired on market	80,524.15 AUD	230,000 Common Stock	230,000
18-Sep-19	Credit Suisse Securities (Europe) Limited	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-2,313,789 Common Stock	-2,313,789

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

#### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square, London, E14 4QJ

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### Signature

print name      Winston Loke

capacity      Authorised Signatory

**sign here**

date      20-September-2019

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Annexure "A"

This is Annexure "A" referred to in the Form 605 "Notice of ceasing to be a substantial holder"



**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	20-September-2019



<b>Prescribed information</b>	
<b>Schedule</b>	
Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (Europe) Limited and Atlantic Absolute Return Fund
Transfer date	18-September-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 5 days prior.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 days prior written notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.